

*The SEC Study Regarding Obligations of Brokers, Dealers, and
Investment Advisers:
What Does it Mean for Investors, Firms, Brokers,
And Advisers?*

Please Join us for a Special Panel Discussion and Webcast
February 10, from 10:00 AM until 12:00 PM
at New York Law School

Keynote Remarks: Tom Bradley, President, TD AMERITRADE Institutional (invited)

Panelists:

James A. Fanto, Professor, Brooklyn Law School
Thomas M. Selman, EVP, Regulatory Policy, FINRA
Michael Koffler, Partner, Sutherland Asbill & Brennan
Robert L. D. Colby, Partner, Davis Polk & Wardwell, former
SEC Deputy Director of Market Regulation
Knut A. Rostad, Chairman, The Committee for the Fiduciary Standard
Moderator, Tara Siegel Bernard, Personal Finance Reporter, *The New York Times*

Reserve your seat today at: adrienneanthony@evenskykatz.com (Include your name and affiliation.)

If you cannot attend in person, but would like to view the webcast, register at:

<https://events.r20.constantcontact.com/register/eventReg?oeidk=a07e3cbgusz359aa7dd&oseq>

Date: Thursday February 10
Time: 10:00 AM to 12:00 PM
Place: New York Law School
185 West Broadway, Auditorium
New York, NY 10013

This Special Webcast is presented by:

Center on Financial Services Law at New York Law School

http://www.nyls.edu/centers/harlan_scholar_centers/center_on_financial_services_law

The Committee for the Fiduciary Standard

www.thefiduciarystandard.org

For additional information, contact:

Robert Paschen

[The Committee for the Fiduciary Standard](#)

robertpaschen@gmail.com

614-214-0095