

**Scholars, Researchers, Practitioners, Professionals  
Urged to Assist SEC in Fiduciary Study, Submit Papers on  
Applying Fiduciary Duties in Delivering Investment Advice**

*Boston University Review of Banking & Financial Law,  
The Committee for the Fiduciary Standard  
Call for “Summary” Papers by August 31*

Washington DC – July 21, 2010 – The editors of the *Boston University Review of Banking & Financial Law* and the Committee for the Fiduciary Standard today announced a “Call for Papers.” The papers will address questions raised in the SEC Study, called for in the Dodd-Frank reform legislation. (See attached “Call for Papers.”)

The president is signed the Dodd-Frank Wall Street Reform and Consumer Protection Act at a ceremony in the Reagan building today.

“The SEC is under huge time and resource pressures to complete numerous studies required by the reform legislation. We believe research-based papers from scholars and practitioners on key issues around the delivery of investment advice in a brokerage setting will be very helpful,” noted Knut Rostad, Chairman of the Committee.

All papers will be reviewed by *Review* editors for submission to an issue slated for the Fall of 2010. To meet the tight six-month timeline of the study, the Call requests papers of 1250 to 4000 words to be submitted by August 31.

“The need for active and fruitful research and writing on broker-dealer advisement has seldom been greater than today. This is an exciting time and all perspectives need to be explored to ensure a practical, logical solution,” said Jeff Bozell, Editor-in-Chief, *Review of Banking & Financial Law*.

## THE COMMITTEE FOR THE FIDUCIARY STANDARD

The Committee for the Fiduciary Standard was formed last year to advocate for the authentic fiduciary standard, as presently established under the Investment Advisers Act of 1940. The Committee's Steering Group members are recognized leaders in the investment profession:

- Blaine Aikin, fi360
- Clark M. Blackman II, Alpha Wealth Strategies, LLC
- Gene Diederich, Moneta Group
- Harold Evensky, Evensky & Katz
- Sheryl Garrett, Garrett Planning Network
- Roger C. Gibson, Gibson Capital, LLC
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- Gregory W. Kasten, Unified Trust Company
- Maria Elena Lagomasino, GenSpring Family Offices
- Kate McBride, Wealth Manager
- James Patrick, Envestnet
- Ronald W. Roge, R. W. Roge & Company
- Knut A. Rostad, Rembert Pendleton Jackson

For more information, please contact:

Knut A. Rostad, Chairman  
The Committee for the Fiduciary Standard  
Rembert Pendleton Jackson  
(703) 821-6616 x 429  
[kar@rpjadvisors.com](mailto:kar@rpjadvisors.com)

Jeffrey R. Bozell, Editor-in-Chief  
Review of Banking and Financial Law  
Boston University School of Law (J.D. 2011)  
[jeffrey.bozell@gmail.com](mailto:jeffrey.bozell@gmail.com)

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